

Audit, Governance and Standards Committee

Monday 5 February 2024

6.30 pm

Ground Floor Meeting Room G01A - 160 Tooley Street, London SE1 2QH

Membership

Councillor Barrie Hargrove (Chair)
Councillor John Batteson
Councillor Gavin Edwards
Councillor Sarah King
Councillor Hamish McCallum
Councillor Graham Neale
Councillor Andy Simmons

Reserves

Councillor Cassandra Brown
Councillor Sam Foster
Councillor Kimberly McIntosh
Councillor David Watson

INFORMATION FOR MEMBERS OF THE PUBLIC

Access to information

You have the right to request to inspect copies of minutes and reports on this agenda as well as the background documents used in the preparation of these reports.

Babysitting/Carers allowances

If you are a resident of the borough and have paid someone to look after your children, an elderly dependant or a dependant with disabilities so that you could attend this meeting, you may claim an allowance from the council. Please collect a claim form at the meeting.

Access

The council is committed to making its meetings accessible. Further details on building access, translation, provision of signers etc for this meeting are on the council's web site: www.southwark.gov.uk or please contact the person below.

Contact

Virginia Wynn-Jones on 020 7525 7055 or email: virginia.wynn-jones@southwark.gov.uk
Webpage: www.southwark.gov.uk

Members of the committee are summoned to attend this meeting

Althea Loderick

Chief Executive

Date: 26 January 2024



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Order of Business

Item No.	Title	Page No.
	PART A - OPEN BUSINESS	
	THE CHAIR WOULD LIKE TO REMIND MEMBERS THAT PRIOR TO THE MEETING THEY HAVE THE OPPORTUNITY TO INFORM OFFICERS OF PARTICULAR AREAS OF INTEREST RELATING TO REPORTS ON THE AGENDA, IN ORDER FOR OFFICERS TO UNDERTAKE PREPARATORY WORK TO ADDRESS MATTERS THAT MAY ARISE DURING DEBATE.	
1.	APOLOGIES FOR ABSENCE	
	To receive any apologies for absence.	
2.	CONFIRMATION OF VOTING MEMBERS	
	A representative of each political group will confirm the voting members of the committee.	
3.	NOTIFICATION OF ANY ITEMS OF BUSINESS WHICH THE CHAIR DEEMS URGENT	
	In special circumstances, an item of business may be added to an agenda within five clear days of the meeting.	
4.	DISCLOSURE OF INTERESTS AND DISPENSATIONS	
	Members to declare any personal interests and dispensation in respect of any item of business to be considered at this meeting.	
5.	MINUTES	1 - 5
	To approve as a correct record the minutes of the open section of the meeting held on 15 November 2023	

Item No.	Title	Page No.
6.	GOVERNANCE CONVERSATION: DOREEN FORRESTER-BROWN	
	The assistant chief executive – governance and assurance to attend the committee to discuss:	
	- departmental governance	
	- focus on HR	
	- member development framework	
	- member code of conduct	
	- MOWG	
7.	SOUTHWARK COUNCIL CORPORATE PEER CHALLENGE	6 - 65
8.	IN-HOUSING OF THE LEISURE CONTRACT UPDATE	66 - 78
9.	RISK MANAGEMENT AND INSURANCE	79 - 93
10.	SOUTHWARK CONSTRUCTION - RETROSPECTIVE CONTRACT VARIATIONS	94 - 106
11.	IT GOVERNANCE UPDATE - CYBER SECURITY	107 - 115
12.	2021-22 AUDIT FINDINGS REPORTS FOR LONDON BOROUGH OF SOUTHWARK AND PENSION FUND	116 - 171
	12.1. AUDITOR'S ANNUAL REPORT ON SOUTHWARK COUNCIL 2021-22	172 - 209
13.	INFORMING THE AUDIT RISK ASSESSMENT FOR THE PENSION FUND 2022/23 AND EXTERNAL AUDIT PLAN FOR THE SOUTHWARK PENSION FUND 2022/23	210 - 242
14.	INTERNAL AUDIT PLAN AND STRATEGY FOR INTERNAL AUDIT AND INTERNAL AUDIT CHARTER	243 - 292
15.	PROGRESS REPORT ON THE WORK OF INTERNAL AUDIT	293 - 347
	15.1. PROGRESS REPORT ON THE WORK OF ANTI-FRAUD	348 - 364
16.	REVIEW OF COMPLAINTS MADE UNDER THE MEMBERS' CODE OF CONDUCT IN 2023	365 - 368

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17.	REPORT ON OPERATIONAL USE OF REGULATION OF INVESTIGATORY POWERS ACT	369 - 373
18.	ANNUAL REPORT ON THE WORK AND PERFORMANCE OF THE AUDIT, GOVERNANCE AND STANDARDS COMMITTEE IN 2023-24	374 - 395
19.	ANNUAL WORK PROGRAMME FOR FOLLOWING YEAR (2024-25)	396 - 408
20.	NOMINATIONS OF NON-VOTING CO-OPTED MEMBERS OF THE CIVIC AWARDS SUB-COMMITTEE FOR 2023-24	409 - 411
21.	REVIEW OF CODE OF CORPORATE GOVERNANCE	412 - 422

ANY OTHER OPEN BUSINESS AS NOTIFIED AT THE START OF THE MEETING AND ACCEPTED BY THE CHAIR AS URGENT

EXCLUSION OF PRESS AND PUBLIC

The following motion should be moved, seconded and approved if the sub-committee wishes to exclude the press and public to deal with reports revealing exempt information:

“That the public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in paragraphs 1-7, Access to Information Procedure rules of the Constitution.”

PART B - CLOSED BUSINESS

NOMINATIONS FOR CIVIC AWARDS UNDER ITEM 21

Date: 26 January 2024